

**ADDENDUM TO THE SCHEME INFORMATION DOCUMENTS / KEY  
INFORMATION MEMORANDA OF THE OPEN ENDED SCHEMES OF BARODA  
PIONEER MUTUAL FUND**

**ONLINE TRADING OF UNITS OF THE OPEN ENDED SCHEMES ON BSE StAR  
AND MFSS**

This Addendum to the Scheme Information Documents (“SID”), Key Information Memoranda (“KIM”) of all the open ended schemes of Baroda Pioneer Mutual Fund (“Fund”) is being issued to inform investors that with effect from March 01, 2013, Units of all the open ended schemes of the Fund are available for online trading on BSE StAR and MFSS, which are online platforms provided by BSE Ltd. (“BSE”) and the National Stock Exchange of India Ltd. (“NSE”) respectively. Investors may note that BSE StAR and MFSS are only online order collection platforms and that the aforesaid schemes are not listed on BSE or NSE.

In view of the above, the following changes that have been effected in the SIDs:

1. Under Scheme Specific Risk Factors, the following risk factor is added:

*“Risks Associated with Transaction in Units through Stock Exchange Mechanism*

*Allotment and/or redemption of Units through NSE or BSE or any other recognized stock exchange on any Business Day will depend upon the modalities of processing viz. collection of application form, order processing, settlement, etc., upon which the Scheme has no control. Moreover, transactions conducted through the stock exchange mechanism will be governed by the operating guidelines and directives issued by the relevant recognized stock exchange.”*

2. Under Definitions and Interpretations, under Applicable NAV, the following clause is added at the end of the existing narration:

*“Investors may note that for transactions through the stock exchange, Applicable NAV shall be reckoned on the basis of the time stamping as evidenced by the confirmation slip given by the stock exchange mechanism.”*

3. Under New Fund Offer Details, Where can you submit filled up application forms, the following clause is added at the end of the existing narration:

*“Stock brokers registered with recognized stock exchanges and empanelled with the AMC shall also be considered as official points of acceptance of transactions. Please refer to ‘Trading in Units through the Stock Exchange mechanism’ under ‘Ongoing Offer Details’, for detailed provisions.”*

4. Under Ongoing Offer Details, Where can the applications for Purchase/Redemption/ switches be submitted, following clause is added:

*“Stock brokers registered with recognized stock exchanges and empanelled with the AMC shall also be considered as official points of acceptance of transactions. Please refer to ‘Trading in Units through the Stock Exchange mechanism’ for detailed provisions.”*

5. Under Ongoing Offer Details, Redemption, the following clause is added at the end of the existing narration:

*“Transactions through the stock exchange mechanism:*

*Investors who wish to transact through the stock exchange shall place orders for redemptions as currently practiced for secondary market activities. Investors must submit the Delivery Instruction Slip to their DP on the same day of submission of redemption request, within such stipulated time as may be specified by NSE/BSE/other stock exchange, failing which the transaction will be rejected. Investors shall seek redemption requests in terms of number of Units only and not in Rupee amounts. Redemption amounts shall be paid by the AMC to the bank mandate registered with the DP. Please also refer “Trading in Units through Stock Exchange mechanism” under ‘Ongoing Offer Details’.*

*An investor who purchases units through a broker / clearing member will receive redemption proceeds through his/her/its broker / clearing member’s pool account. The AMC will pay the proceeds to the broker / clearing member, who in turn will pay the investor. Payment of redemption proceeds to the broker / clearing members by the AMC shall discharge the AMC of its obligation of payment to the investor.”*

6. In the table under Ongoing Offer Details, a row is inserted with the following clause:

*“Trading in units through Stock Exchange Mechanism*

*The facility of transacting through the stock exchange mechanism enables investors to buy and sell the Units of the Scheme through stock brokers registered with NSE and/or BSE in accordance with the guidelines issued by SEBI and operating guidelines and directives issued by NSE/BSE/other stock exchange. The investor shall be serviced directly by such stock brokers/DP. The Fund will not be in any position to accept a request for transaction or service request in respect of Units bought under this facility in demat mode.*

*Investors may note that this facility is currently being offered only to investors who wish to hold Units in dematerialized form. This facility will currently not support transactions done through switches or facilities such as SWP and STP. In case of non-financial requests/applications such as change of address, change of bank details, etc., investors should approach the respective DP. Unit holders may have/open a beneficiary account with a DP of a Depository and choose to hold the Units in dematerialized mode. Unit holders have the option of dematerializing their Units, by making an application to the AMC/Registrar for this purpose.*

*Rematerialization of Units can be carried out in accordance with the provisions of the SEBI (Depositories and Participants) Regulations, 1996, as may be amended from time to time. Investors who wish to get back their securities in physical form may request their respective DP for rematerialization of Units in their beneficiary accounts. The DP will generate a rematerialization request number and the request will be dispatched to the AMC/Registrar. On acceptance of request from the DP, the AMC/Registrar will send a confirmation to the DP. Thereafter the unit holder concerned will receive CAS. Transactions conducted through the Stock Exchange mechanism shall be governed by the Regulations and operating guidelines and directives issued by NSE/BSE/other stock exchange.”*

7. Under Periodic Disclosure, Investor Services, the following clause is added at the end of the existing narration:

*“For any grievances with respect to transactions through stock exchange mechanism, Unit holders must approach either their stock broker or the investor grievance cell of the respective stock exchange.”*

Further, under the clause Applicable NAV in the KIMs, the following disclaimer is added at the end of the existing narration:

*“Investors may note that for transactions through the stock exchange, Applicable NAV shall be reckoned on the basis of the time stamping as evidenced by the confirmation slip given by the stock exchange mechanism.”*

All other terms and conditions of the SIDs and KIMs remain unchanged.

This Addendum forms an integral part of the SIDs and KIMs, and shall always be read in conjunction with them.

**Place: Mumbai**  
**Date : March 04, 2013**

**For Baroda Pioneer Asset Management  
Company Limited**

**Sd/-**

**Authorized Signatory**